# **OBITools V4**

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1/17/23

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## Preface

The first version of *OBITools* started to be developed in 2005. This was at the beginning of the DNA metabarcoding story at the Laboratoire d'Ecologie Alpine (LECA) in Grenoble. At that time, with Pierre Taberlet and François Pompanon, we were thinking about the potential of this new methodology under development. Plerre and Francois developed more the laboratory methods, while I was thinking more about the tools for analysing the sequences produced. Two ideas were behind this development. I wanted something modular, and something easy to extend. To achieve the first goal, I decided to implement obitools as a suite of unix commands mimicking the classic unix commands but dedicated to sequence files. The basic unix commands are very useful for automatically manipulating, parsing and editing text files. They work in flow, line by line on the input text. The result is a new text file that can be used as input for the next command. Such a design makes it possible to quickly develop a text processing pipeline by chaining simple elementary operations. The OBITools are the exact counterpart of these basic Unix commands, but the basic information they process is a sequence (potentially spanning several lines of text), not a single line of text. Most OBITools consume sequence files and produce sequence files. Thus, the principles of chaining and modularity are respected. In order to be able to easily extend the *OBITools* to keep up with our evolving ideas about processing DNA metabarcoding data, it was decided to develop them using an interpreted language: Python. Python 2, the version available at the time, allowed us to develop the *OBITools* efficiently. When parts of the algorithms were computationally demanding, they were implemented in C and linked to the Python code. Even though Python is not the most efficient language available, even though computers were not as powerful as they are today, the size of the data we could produce using 454 sequencers or early solexa machines was small enough to be processed in a reasonable time.

The first public version of obitools was *OBITools2* (Boyer et al. 2016), this was actually a cleaned up and documented version of *OBITools* that had been running at LECA for years and was not really distributed except to a few collaborators. This is where *OBITools* started its public life from then on. The DNA metabarcoding spring schools provided and still provide user training every year. But *OBITools2* soon suffered from two limitations: it was developed in Python2, which was increasingly abandoned in favour of Python3, and the data size kept increasing with the new illumina machines. Python's intrinsic slowness coupled with the increasing size of the datasets made OBITools computation times increasingly long. The abandonment of all maintenance of Python2 by its developers also imposed the need for a new version of OBITools.

*OBITools3* was the first response to this crisis. Developed and maintained by Céline Mercier, *OBITools3* attempted to address several limitations of *OBITools2*. It is a complete new code, mainly developed in Python3, with most of the lower layer code written in C for efficiency. OBITools3 has also abandoned text files for binary files for the same reason of efficiency. They have been replaced by a database structure that keeps track of every operation performed on the data.

Here we present OBITools4 which can be seen as a return to the origins of OBITools. While OBITools3 offered traceability of analyses, which is in line with the concept of open science, and faster execution, OBITools2 was more versatile and not only usable for the analysis of DNA metabarcoding data. OBITools4 is the third full implementation of OBITools. The idea behind this new version is to go back to the original design of OBITools which ran on text files containing sequences, like the classic Unix commands, but running at least as fast as OBITools3 and taking advantage of the multicore architecture of all modern laptops. For this, the idea of relying on an interpreted language was abandoned. The OBITools4 are now fully implemented in the GO language with the exception of a few small pieces of specific code already implemented very efficiently in C. OBITools4 also implement a new format for the annotations inserted in the header of every sequences. Rather tha relying on a format specific to OBITools, by default OBITools4 use the JSON format. This simplifies the writing of parsers in any languages, and thus allows obitools to easiestly interact with other software.

# 1 The OBITools

### 1.1 Aims of OBITools

### 1.2 File formats usable with OBITools

### 1.2.1 The sequence files

Sequences can be stored following various format. OBITools knows some of them. The central formats for sequence files manipulated by OBITools scripts are the **fasta** and fastq format. OBITools extends the both these formats by specifying a syntax to include in the definition line data qualifying the sequence. All file formats use the **IUPAC** code for encoding nucleotides.

### 1.2.2 The IUPAC Code

The International Union of Pure and Applied Chemistry (IUPAC\_) defined the standard code for representing protein or DNA sequences.

### 1.2.2.1 Nucleic IUPAC Code

Code	Nucleotide
A	Adenine
С	Cytosine
G	Guanine
Т	Thymine
U	Uracil
R	Purine (A or G)
Υ	Pyrimidine (C, T, or U)
Μ	C or A
Κ	T, U, or G
W	T, U, or A
$\mathbf{S}$	C or G
В	C, T, U, or G (not A)

Code	Nucleotide
D	A, T, U, or G (not $C$ )
Η	A, T, U, or C (not G)
V	A, C, or G (not T, not U) $($
Ν	Any base $(A, C, G, T, or U)$

### 1.2.3 The fasta format

The **fasta format** is certainly the most widely used sequence file format. This is certainly due to its great simplicity. It was originally created for the Lipman and Pearson FASTA program. OBITools use in more of the classical :ref:fasta format an :ref:extended version of this format where structured data are included in the title line.

In *fasta* format a sequence is represented by a title line beginning with a > character and the sequences by itself following the :doc:iupac code. The sequence is usually split other severals lines of the same length (expect for the last one)

```
>my_sequence this is my pretty sequence
ACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT
GTGCTGACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTGCAGTGCTTGCAGTGCAGTGCAGT
AACGACGTTGCAGTACGTTGCAGT
```

This is no special format for the title line excepting that this line should be unique. Usually the first word following the > character is considered as the sequence identifier. The end of the title line corresponding to a description of the sequence. Several sequences can be concatenated in a same file. The description of the next sequence is just pasted at the end of the record of the previous one

>sequence\_A this is my first pretty sequence ACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT GTGCTGACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTGCAGTACGTTGCAGTACGTTGCAGT >sequence\_B this is my second pretty sequence ACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT GTGCTGACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTGTTT AACGACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTATT >sequence\_C this is my third pretty sequence ACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT GTGCTGACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT GTGCTGACGTTGCAGTGCAGTTGCAGTTGCAGTTGCAGTTGCAG

### 1.2.4 The *fastq* sequence format<sup>1</sup>

**fastq format** is a text-based format for storing both a biological sequence (usually nucleotide sequence) and its corresponding quality scores. Both the sequence letter and quality score are encoded with a single ASCII character for brevity. It was originally developed at the Wellcome Trust Sanger Institute to bundle a fasta sequence and its quality data, but has recently become the *de facto* standard for storing the output of high throughput sequencing instruments such as the Illumina Genome Analyzer Illumina (Cock et al. 2010).

A fastq file normally uses four lines per sequence.

- Line 1 begins with a '@' character and is followed by a sequence identifier and an *optional* description (like a :ref:fasta title line).
- Line 2 is the raw sequence letters.
- Line 3 begins with a '+' character and is *optionally* followed by the same sequence identifier (and any description) again.
- Line 4 encodes the quality values for the sequence in Line 2, and must contain the same number of symbols as letters in the sequence.

A fast file containing a single sequence might look like this:

```
@SEQ_ID
GATTTGGGGGTTCAAAGCAGTATCGATCAAATAGTAAATCCATTTGTTCAACTCACAGTTT
+
!''*(((((***+))%%%++)(%%%%).1***-+*''))**55CCF>>>>>CCCCCCC65
```

The character '!' represents the lowest quality while ' $\sim$ ' is the highest. Here are the quality value characters in left-to-right increasing order of quality (ASCII):

!"#\$%&'()\*+,-./0123456789:;<=>?@ABCDEFGHIJKLMNOPQRSTUVWXYZ[\]^\_`abcdefghijklmnopqrstuvwxyz{|]

The original Sanger FASTQ files also allowed the sequence and quality strings to be wrapped (split over multiple lines), but this is generally discouraged as it can make parsing complicated due to the unfortunate choice of "@" and "+" as markers (these characters can also occur in the quality string).

<sup>&</sup>lt;sup>1</sup>This article uses material from the Wikipedia article FASTQ format which is released under the Creative Commons Attribution-Share-Alike License 3.0

#### 1.2.4.1 Variations

#### 1.2.4.1.1 Quality

A quality value Q is an integer mapping of p (i.e., the probability that the corresponding base call is incorrect). Two different equations have been in use. The first is the standard Sanger variant to assess reliability of a base call, otherwise known as Phred quality score:

$$Q_{\text{sanger}} = -10 \log_{10} p$$

The Solexa pipeline (i.e., the software delivered with the Illumina Genome Analyzer) earlier used a different mapping, encoding the odds  $\mathbf{p}/(1-\mathbf{p})$  instead of the probability  $\mathbf{p}$ :

$$Q_{\text{solexa-prior to v.1.3}} = -10 \log_{10} \frac{p}{1-p}$$

Although both mappings are asymptotically identical at higher quality values, they differ at lower quality levels (i.e., approximately  $\mathbf{p} > 0.05$ , or equivalently,  $\mathbf{Q} < 13$ ).

|Relationship between Q and p using the Sanger (red) and Solexa (black) equations (described above). The vertical dotted line indicates  $\mathbf{p} = 0.05$ , or equivalently, Q = 13.|

#### 1.2.4.2 Encoding

- Sanger format can encode a Phred quality score from 0 to 93 using ASCII 33 to 126 (although in raw read data the Phred quality score rarely exceeds 60, higher scores are possible in assemblies or read maps).
- Solexa/Illumina 1.0 format can encode a Solexa/Illumina quality score from -5 to 62 using ASCII 59 to 126 (although in raw read data Solexa scores from -5 to 40 only are expected)
- Starting with Illumina 1.3 and before Illumina 1.8, the format encoded a Phred quality score from 0 to 62 using ASCII 64 to 126 (although in raw read data Phred scores from 0 to 40 only are expected).
- Starting in Illumina 1.5 and before Illumina 1.8, the Phred scores 0 to 2 have a slightly different meaning. The values 0 and 1 are no longer used and the value 2, encoded by ASCII 66 "B".

Sequencing Control Software, Version 2.6, Catalog # SY-960-2601, Part # 15009921 Rev. A, November 2009] [http://watson.nci.nih.gov/solexa/Using\_SCSv2.6\_15009921\_A.pdf\](http://watson.nci.nih. (page 30) states the following: If a read ends with a segment of mostly low quality (Q15 or below), then all of the quality values in the segment are replaced with a value of 2 (encoded as the letter B in Illumina's text-based encoding of quality scores)... This Q2 indicator does not predict a specific error rate, but rather indicates that a specific final portion of the read should not be used in further analyses. Also, the quality score encoded as "B" letter may occur internally within reads at least as late as pipeline version 1.6, as shown in the following example:

An alternative interpretation of this ASCII encoding has been proposed. Also, in Illumina runs using PhiX controls, the character 'B' was observed to represent an "unknown quality score". The error rate of 'B' reads was roughly 3 phred scores lower the mean observed score of a given run.

• Starting in Illumina 1.8, the quality scores have basically returned to the use of the Sanger format (Phred+33).

### 1.3 File extension

There is no standard file extension for a FASTQ file, but .fq and .fastq, are commonly used.

### 1.4 See also

• :ref:fasta

### 1.5 References

.. [1] Cock et al (2009) The Sanger FASTQ file format for sequences with quality scores, and the Solexa/Illumina FASTQ variants. Nucleic Acids Research,

.. [2] Illumina Quality Scores, Tobias Mann, Bioinformatics, San Diego, Illumina 1\_\_\_\_

.. |Relationship between Q and p using the Sanger (red) and Solexa (black) equations (described above). The vertical dotted line indicates p = 0.05, or equivalently, Q Å 13.| image:: Probability metrics.png

See http://en.wikipedia.org/wiki/FASTQ\_format

# 2 OBITools V4 Tutorial

Here is a short tutorial on how to analyze DNA metabarcoding data produced on Illumina sequencers using:

- the OBITools
- some basic Unix commands

### 2.1 Wolves' diet based on DNA metabarcoding

The data used in this tutorial correspond to the analysis of four wolf scats, using the protocol published in Shehzad et al. (2012) for assessing carnivore diet. After extracting DNA from the faeces, the DNA amplifications were carried out using the primers TTAGATACCCCACTATGC and TAGAACAGGCTCCTCTAG amplifying the 12S-V5 region (Riaz et al. 2011), together with a wolf blocking oligonucleotide.

The complete data set can be downloaded here: the tutorial dataset

Once the data file is downloaded, using a UNIX terminal unarchive the data from the tgz file.

tar zxvf wolf\_diet.tgz

That command create a new directory named wolf\_data containing every required data files:

- fastq <fastq> files resulting of aGA IIx (Illumina) paired-end (2 x 108 bp) sequencing assay of DNA extracted and amplified from four wolf faeces:
  - wolf\_F.fastq
     wolf\_R.fastq
- the file describing the primers and tags used for all samples sequenced:
  - wolf\_diet\_ngsfilter.txt The tags correspond to short and specific sequences added on the 5' end of each primer to distinguish the different samples
- the file containing the reference database in a fasta format:

 db\_v05\_r117.fasta This reference database has been extracted from the release 117 of EMBL using objpcr

To not mix raw data and processed data a new directory called results is created.

mkdir results

### 2.2 Step by step analysis

### 2.2.1 Recover full sequence reads from forward and reverse partial reads

When using the result of a paired-end sequencing assay with supposedly overlapping forward and reverse reads, the first step is to recover the assembled sequence.

The forward and reverse reads of the same fragment are *at the same line position* in the two fastq files obtained after sequencing. Based on these two files, the assembly of the forward and reverse reads is done with the **obipairing** utility that aligns the two reads and returns the reconstructed sequence.

In our case, the command is:

```
obipairing --min-identity=0.8 \
    --min-overlap=10 \
    -F wolf_data/wolf_F.fastq \
    -R wolf_data/wolf_R.fastq \
    > results/wolf.fastq
```

The --min-identity and --min-overlap options allow discarding sequences with low alignment quality. If after the alignent, the overlaping parts of the reads is shorter than 10 base pairs or the similarity over this aligned region is below 80% of identity, in the output file, the forward and reverse reads are not aligned but concatenated, and the value of the mode attribute in the sequence header is set to joined instead of alignment.

### 2.2.2 Remove unaligned sequence records

Unaligned sequences (:pymode=joined) cannot be used. The following command allows removing them from the dataset:

```
obigrep -p 'annotations.mode != "join"' \
    results/wolf.fastq > results/wolf.ali.fastq
```

The -p requires a go like expression. annotations.mode != "join" means that if the value of the mode annotation of a sequence is different from join, the corresponding sequence record will be kept.

The first sequence record of wolf.ali.fastq can be obtained using the following command line:

```
head -n 4 results/wolf.ali.fastq
```

The folling piece of code appears on thew window of tour terminal.

# 2.2.3 Assign each sequence record to the corresponding sample/marker combination

Each sequence record is assigned to its corresponding sample and marker using the data provided in a text file (here wolf\_diet\_ngsfilter.txt). This text file contains one line per sample, with the name of the experiment (several experiments can be included in the same file), the name of the tags (for example: aattaac if the same tag has been used on each extremity of the PCR products, or aattaac:gaagtag if the tags were different), the sequence of the forward primer, the sequence of the reverse primer, the letter T or F for sample identification using the forward primer and tag only or using both primers and both tags, respectively (see obimultiplex for details).

```
obimultiplex -t wolf_data/wolf_diet_ngsfilter.txt \
    -u results/unidentified.fastq \
    results/wolf.ali.fastq \
    > results/wolf.ali.assigned.fastq
```

This command creates two files:

- unidentified.fastq containing all the sequence records that were not assigned to a sample/marker combination
- wolf.ali.assigned.fastq containing all the sequence records that were properly assigned to a sample/marker combination

Note that each sequence record of the wolf.ali.assigned.fastq file contains only the barcode sequence as the sequences of primers and tags are removed by the obimultiplex program. Information concerning the experiment, sample, primers and tags is added as attributes in the sequence header.

For instance, the first sequence record of wolf.ali.assigned.fastq is:

@HELIUM\_000100422\_612GNAAXX:7:108:5640:3823#0/1\_sub[28..127] {"ali\_dir":"left","ali\_length":"
ttagccctaaacacaagtaattaataacaaaattgttcgccagagtactaccggcaatagcttaaaactcaaaggacttggcggtgcttt"
+

#### 2.2.4 Dereplicate reads into uniq sequences

The same DNA molecule can be sequenced several times. In order to reduce both file size and computations time, and to get easier interpretable results, it is convenient to work with unique *sequences* instead of *reads*. To *dereplicate* such *reads* into unique *sequences*, we use the **obiuniq** command.

Definition: Dereplicate reads into unique sequences

- 1. compare all the reads in a data set to each other
- 2. group strictly identical reads together
- 3. output the sequence for each group and its count in the original dataset (in this way, all duplicated reads are removed)

Definition adapted from Seguritan and Rohwer (2001)

For dereplication, we use the obiuniq command with the -m sample. The -m sample option is used to keep the information of the samples of origin for each unique sequence.

```
obiuniq -m sample \
    results/wolf.ali.assigned.fastq \
        results/wolf.ali.assigned.uniq.fasta
```

Note that obiuniq returns a fasta file.

The first sequence record of wolf.ali.assigned.uniq.fasta is:

>HELIUM\_000100422\_612GNAAXX:7:93:6991:1942#0/1\_sub[28..126] {"ali\_dir":"left","ali\_length":6 ttagccctaaacataaacattcaataaacaagaatgttcgccagagaactactagcaaca gcctgaaactcaaaggacttggcggtgctttatatccct

The run of obiuniq has added two key=values entries in the header of the fasta sequence:

- "merged\_sample":{"29a\_F260619":1}: this sequence have been found once in a single sample called 29a\_F260619
- "count":1: the total count for this sequence is 1

To keep only these two attributes, we can use the obiannotate command:

```
obiannotate -k count -k merged_sample \
  results/wolf.ali.assigned.uniq.fasta \
        results/wolf.ali.assigned.simple.fasta
```

The first five sequence records of wolf.ali.assigned.simple.fasta become:

```
>HELIUM_000100422_612GNAAXX:7:26:18930:11105#0/1_sub[28..127] {"count":1,"merged_sample":{"2'
ttagccctaaacacaagtaattaataacaaaatwattcgcyagagtactacmggcaat
agctyaaarctcamagrwcttggcggtgctttataccctt
>HELIUM_000100422_612GNAAXX:7:58:5711:11399#0/1_sub[28..127] {"count":1,"merged_sample":{"29}
ttagccctaaacacaagtaattaataacaaaattattcgccagagtwctaccgssaat
agcttaaaactcaaaggactgggcggtgctttataccctt
>HELIUM_000100422_612GNAAXX:7:100:15836:9304#0/1_sub[28..127] {"count":1,"merged_sample":{"29}
ttagccctaaacatagataattaacaaaaattgttcaccagagtactagcggcac
agcttaaaactcaaaggacttggcggtgctttataccctt
>HELIUM_000100422_612GNAAXX:7:100:15836:9304#0/1_sub[28..127] {"count":1,"merged_sample":{"29}
ttagccctaaacatagataattaccaaacaaaattgttcaccagagtactagcggcac
agcttaaaactcaaaggacttggcggtgctttataccctt
>HELIUM_000100422_612GNAAXX:7:55:13242:9085#0/1_sub[28..126] {"count":4,"merged_sample":{"26}
ttagccctaaacataaacattcaataaacaagagtgttcgccagagtactactagcaaca
gcctgaaactcaaaggacttggcggtgctttacaccct
>HELIUM_000100422_612GNAAXX:7:55:13242:9085#0/1_sub[28..126] {"count":4,"merged_sample":{"26}
ttagccctaaacataaacattcaataacaagagtgttcgccagagtactactagcaaca
gcctgaaactcaaaggacttggcggtgctttacatccct
>HELIUM_000100422_612GNAAXX:7:56:8429:13723#0/1_sub[28..126] {"count":4,"merged_sample":{"26}
ttagccctaaacataaacattgacaaacaaaattgtcgccggtgctttacatccct
>HELIUM_000100422_612GNAAXX:7:86:8429:13723#0/1_sub[28..126] {"count":7,"merged_sample":{"15}
ttagccctaaacataaggacttggcggtgctttacatccct
>HELIUM_000100422_612GNAAXX:7:86:8429:13723#0/1_sub[28..127] {"count":7,"merged_sample":{"15}
ttagccctaaacacaaggacttggcggtgctttataccctt
```

### 2.2.5 Denoise the sequence dataset

To have a set of sequences assigned to their corresponding samples does not mean that all sequences are *biologically* meaningful i.e. some of these sequences can contains PCR and/or sequencing errors, or chimeras.

#### Tag the sequences for PCR errors (sequence variants)

The obiclean program tags sequence variants as potential error generated during PCR amplification. We ask it to keep the head sequences (-H option) that are sequences which are not variants of another sequence with a count greater than 5% of their own count  $(-r \ 0.05 \text{ option})$ .

One of the sequence records of wolf.ali.assigned.simple.clean.fasta is:

>HELIUM\_000100422\_612GNAAXX:7:66:4039:8016#0/1\_sub[28..127] {"count":17,"merged\_sample":{"13a clean\_samplecount":1,"obiclean\_singletoncount":0,"obiclean\_status":{"13a\_F730603":"h"},"obic ctagccttaaacacaaatagttatgcaaacaaactattcgccagagtactaccggcaac agcccaaaactcaaaggacttggcggtgcttcacaccctt

To remove such sequences as much as possible, we first discard rare sequences and then rsequence variants that likely correspond to artifacts.

#### Get some statistics about sequence counts

```
obicount results/wolf.ali.assigned.simple.clean.fasta
```

time="2023-02-02T23:07:39+01:00" level=info msg="Appending results/wolf.ali.assigned.simple. 2737 36188 272192

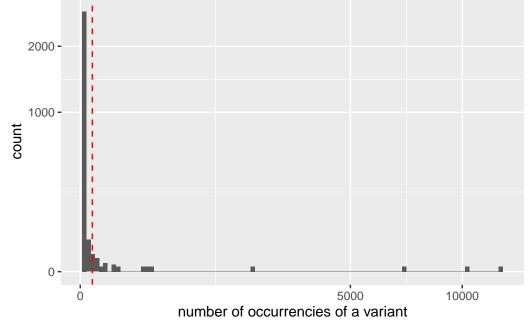
The dataset contains 4313 sequences variant corresponding to 42452 sequence reads. Most of the variants occur only a single time in the complete dataset and are usually named *singletons* 

time="2023-02-02T23:07:39+01:00" level=info msg="Reading sequences from stdin in guessed\n"
time="2023-02-02T23:07:39+01:00" level=info msg="Appending results/wolf.ali.assigned.simple.c
time="2023-02-02T23:07:39+01:00" level=info msg="On output use JSON headers"
2269 2269 225946

In that dataset sigletons corresponds to 3511 variants.

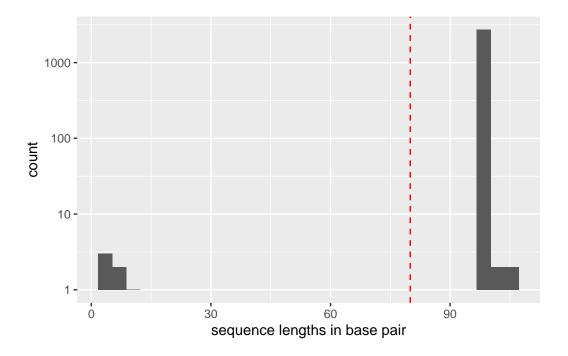
Using R and the ROBIFastread package able to read headers of the fasta files produced by *OBITools*, we can get more complete statistics on the distribution of occurrencies.

```
library(ROBIFastread)
library(ggplot2)
seqs <- read_obifasta("results/wolf.ali.assigned.simple.clean.fasta",keys="count")
ggplot(data = seqs, mapping=aes(x = count)) +
   geom_histogram(bins=100) +
   scale_y_sqrt() +
   scale_x_sqrt() +
   geom_vline(xintercept = 10, col="red", lty=2) +
   xlab("number of occurrencies of a variant")</pre>
```



In a similar way it is also possible to plot the distribution of the sequence length.

```
ggplot(data = seqs, mapping=aes(x = nchar(sequence))) +
geom_histogram() +
scale_y_log10() +
geom_vline(xintercept = 80, col="red", lty=2) +
xlab("sequence lengths in base pair")
```



Keep only the sequences having a count greater or equal to 10 and a length shorter than 80 bp

Based on the previous observation, we set the cut-off for keeping sequences for further analysis to a count of 10. To do this, we use the obigrep <scripts/obigrep> command. The -p 'count>=10' option means that the python expression :pycount>=10 must be evaluated to :pyTrue for each sequence to be kept. Based on previous knowledge we also remove sequences with a length shorter than 80 bp (option -l) as we know that the amplified 12S-V5 barcode for vertebrates must have a length around 100bp.

The first sequence record of results/wolf.ali.assigned.simple.clean.c10.180.fasta is:

>HELIUM\_000100422\_612GNAAXX:7:22:2603:18023#0/1\_sub[28..127] {"count":12182,"merged\_sample": ttagccctaaacacaagtaattaataacaaaattattcgccagagtactaccggcaat agcttaaaactcaaaggacttggcggtgctttataccctt

At that time in the data cleanning we have conserved :

```
obicount results/wolf.ali.assigned.simple.clean.c10.180.fasta
```

time="2023-02-02T23:07:41+01:00" level=info msg="Appending results/wolf.ali.assigned.simple. 26 31146 2585

#### 2.2.6 Taxonomic assignment of sequences

Once denoising has been done, the next step in diet analysis is to assign the barcodes to the corresponding species in order to get the complete list of species associated to each sample.

Taxonomic assignment of sequences requires a reference database compiling all possible species to be identified in the sample. Assignment is then done based on sequence comparison between sample sequences and reference sequences.

#### Download the taxonomy

It is always possible to download the complete taxonomy from NCBI using the following commands.

For people have a low speed internet connection, a copy of the taxdump.tar.gz file is provided in the wolf\_data directory. The NCBI taxonomy is dayly updated, but the one provided here is ok for running this tutorial.

To build the TAXO directory from the provided taxdump.tar.gz, you need to execute the following commands

```
mkdir TAXO
cd TAXO
tar zxvf wolf_data/taxdump.tar.gz
cd ..
```

#### Build a reference database

One way to build the reference database is to use the **objpcr** program to simulate a PCR and extract all sequences from a general purpose DNA database such as genbank or EMBL that can be amplified *in silico* by the two primers (here **TTAGATACCCCACTATGC** and **TAGAACAGGCTCCTCTAG**) used for PCR amplification.

The two steps to build this reference database would then be

- 1. Today, the easiest database to download is *Genbank*. But this will take you more than a day and occupy more than half a terabyte on your hard drive. In the wolf\_data directory, a shell script called download\_gb.sh is provided to perform this task. It requires that the programs wget2 and curl are available on your computer.
- 2. Use objpcr to simulate amplification and build a reference database based on the putatively amplified barcodes and their recorded taxonomic information.

As these steps can take a long time (about a day for the download and an hour for the PCR), we already provide the reference database produced by the following commands so you can skip its construction. Note that as the Genbank and taxonomic database evolve frequently, if you run the following commands you may get different results.

### 2.2.6.0.1 \* Download the sequences

```
mkdir genbank
cd genbank
../wolf_data/install_gb.sh
cd ..
```

DO NOT RUN THIS COMMAND EXCEPT IF YOU ARE REALLY CONSIENT OF THE TIME AND DISK SPACE REQUIRED.

2.2.6.0.2 \* Use object to simulate an in silico' PCR

```
obipcr -t TAXO -e 3 -l 50 -L 150 \
    --forward TTAGATACCCCACTATGC \
    --reverse TAGAACAGGCTCCTCTAG \
    --no-order \
    genbank/Release-251/gb*.seq.gz
    > results/v05.pcr.fasta
```

Note that the primers must be in the same order both in wolf\_diet\_ngsfilter.txt and in the objpcr command. The part of the path indicating the *Genbank* release can change. Please check in your genbank directory the exact name of your release.

### 2.2.6.0.3 \* Clean the database

- 1. filter sequences so that they have a good taxonomic description at the species, genus, and family levels (obigrep command command below).
- 2. remove redundant sequences (obiuniq command below).
- 3. ensure that the dereplicated sequences have a taxid at the family level (obigrep command below).
- 4. ensure that sequences each have a unique identification (obiannotate command below)

```
obigrep -t TAX0 \
    --require-rank species \
    --require-rank genus \
    --require-rank family \
    results/v05.ecopcr > results/v05_clean.fasta
obiuniq -c taxid \
    results/v05_clean.fasta \
    > results/v05_clean_uniq.fasta
obirefidx -t TAXO results/v05_clean_uniq.fasta \
    > results/v05_clean_uniq.indexed.fasta
```

#### Warning

From now on, for the sake of clarity, the following commands will use the filenames of the files provided with the tutorial. If you decided to run the last steps and use the files you have produced, you'll have to use results/v05\_clean\_uniq.indexed.fasta instead of wolf\_data/db\_v05\_r117.indexed.fasta.

### 2.2.7 Assign each sequence to a taxon

Once the reference database is built, taxonomic assignment can be carried out using the obitag command.

```
obitag -t TAXO -R wolf_data/db_v05_r117.indexed.fasta \
    results/wolf.ali.assigned.simple.clean.c10.180.fasta \
    results/wolf.ali.assigned.simple.clean.c10.180.taxo.fasta
```

The obitag adds several attributes in the sequence record header, among them:

- obitag\_bestmatch=ACCESSION where ACCESSION is the id of hte sequence in the reference database that best aligns to the query sequence;
- obitag\_bestid=FLOAT where FLOAT\*100 is the percentage of identity between the best match sequence and the query sequence;
- taxid=TAXID where TAXID is the final assignation of the sequence by obitag
- scientific\_name=NAME where NAME is the scientific name of the assigned taxid.

The first sequence record of wolf.ali.assigned.simple.clean.c10.180.taxo.fasta is:

```
>HELIUM_000100422_612GNAAXX:7:81:18704:12346#0/1_sub[28..126] {"count":88,"merged_sample":
ttagccctaaacataaacattcaataaacaagaatgttcgccagaggactactagcaata
gcttaaaactcaaaggacttggcggtgctttatatccct
```

### 2.2.8 Generate the final result table

Some unuseful attributes can be removed at this stage.

- obiclean\_head
- obiclean\_headcount
- obiclean\_internalcount
- obiclean\_samplecount
- obiclean\_singletoncount

```
obiannotate --delete-tag=obiclean_head \
    --delete-tag=obiclean_headcount \
    --delete-tag=obiclean_internalcount \
    --delete-tag=obiclean_samplecount \
    --delete-tag=obiclean_singletoncount \
    results/wolf.ali.assigned.simple.clean.c10.180.taxo.fasta \
    > results/wolf.ali.assigned.simple.clean.c10.180.taxo.ann.fasta
```

The first sequence record of wolf.ali.assigned.simple.c10.180.clean.taxo.ann.fasta is then:

```
>HELIUM_000100422_612GNAAXX:7:84:16335:5083#0/1_sub[28..126] {"count":96,"merged_sample":{"2
ttagccctaaacataagctattccataacaaaataattcgccagagaactactagcaaca
gattaaacctcaaaggacttggcagtgctttatacccct
```

### 2.2.9 Looking at the data in R

```
library(ROBIFastread)
library(vegan)
Le chargement a nécessité le package : permute
Le chargement a nécessité le package : lattice
This is vegan 2.6-4
library(magrittr)
diet_data <- read_obifasta("results/wolf.ali.assigned.simple.clean.c10.180.taxo.fasta")
diet_data %<% extract_features("obitag_bestmatch","obitag_rank","scientific_name",'taxid'
diet_tab <- extract_readcount(diet_data,key="obiclean_weight")
diet_tab</pre>
```

4 x 26 sparse Matrix of class "dgCMatrix"

[[ suppressing 26 column names 'HELIUM\_000100422\_612GNAAXX:7:17:3675:13316#0/1\_sub[28..126]

26a_F040644	17		•	•		88	71	18	43	•	15	12757		478	14		31			
13a_F730603	•	15	1	•	•	•				20				19				24	9	•
29a_F260619	•		13	44	25	•							6229	1	116	16			•	350
15a_F730814	•		•				•						9031	5		•			4	•
26a_F040644	206	5	•		. 51	L.	. 14	1												
13a_F730603		83	362			22	2													
29a_F260619			•	388	3.		•	•												
15a_F730814			•			•	•	•												

#### This file contains 26 sequences. You can deduce the diet of each sample:

- 13a\_F730603: Cervus elaphus
- 15a\_F730814: Capreolus capreolus
- 26a\_F040644: Marmota sp. (according to the location, it is Marmota marmota)

• 29a\_F260619: Capreolus capreolus

Note that we also obtained a few wolf sequences although a wolf-blocking oligonucleotide was used.

# 3 The OBITools V4 commands

### 3.1 Specifying the input files to OBITools commands

### 3.2 Options common to most of the OBITools commands

### 3.2.1 Specifying input format

Five sequence formats are accepted for input files. Fasta and Fastq are the main ones, EMBL and Genbank allow the use of flat files produced by these two international databases. The last one, ecoPCR, is maintained for compatibility with previous OBITools and allows to read ecoPCR outputs as sequence files.

- --ecopcr : Read data following the *ecoPCR* output format.
- --embl Read data following the *EMBL* flatfile format.
- --genbank Read data following the Genbank flatfile format.

Several encoding schemes have been proposed for quality scores in Fastq format. Currently, *OBITools* considers Sanger encoding as the standard. For reasons of compatibility with older datasets produced with *Solexa* sequencers, it is possible, by using the following option, to force the use of the corresponding quality encoding scheme when reading these older files.

• --solexa Decodes quality string according to the Solexa specification. (default: false)

#### 3.2.2 Specifying output format

Only two output sequence formats are supported by OBITools, Fasta and Fastq. Fastq is used when output sequences are associated with quality information. Otherwise, Fasta is the default format. However, it is possible to force the output format by using one of the following two options. Forcing the use of Fasta results in the loss of quality information. Conversely, when the Fastq format is forced with sequences that have no quality data, dummy qualities set to 40 for each nucleotide are added.

- --fasta-output Read data following the ecoPCR output format.
- --fastq-output Read data following the EMBL flatfile format.

OBITools allows multiple input files to be specified for a single command.

• --no-order When several input files are provided, indicates that there is no order among them. (default: false)

### 3.2.3 Format of the annotations in Fasta and Fastq files

OBITools extend the Fasta and Fastq formats by introducing a format for the title lines of these formats allowing to annotate every sequence. While the previous version of OBITools used an *ad-hoc* format for these annotation, this new version introduce the usage of the standard JSON format to store them.

On input, OBITools automatically recognize the format of the annotations, but two options allows to force the parsing following one of them. You should normally not need to use these options.

- --input-OBI-header FASTA/FASTQ title line annotations follow OBI format. (default: false)
- --input-json-header FASTA/FASTQ title line annotations follow json format. (de-fault: false)

On output, by default annotation are formatted using the new JSON format. For compatibility with previous version of OBITools and with external scripts and software, it is possible to force the usage of the previous OBITools format.

- --output-OBI-header |-O output FASTA/FASTQ title line annotations follow OBI format. (default: false)
- --output-json-header output FASTA/FASTQ title line annotations follow json format. (default: false)

### 3.2.3.1 System related options

- --debug (default: false)
- --help\|-h\|-? (default: false)
- --max-cpu <int> Number of parallele threads computing the result (default: 10)
- --workers\|-w <int> Number of parallele threads computing the result (default: 9)

### 3.3 OBITools expression language

Several OBITools (e.g. obigrep, obiannotate) allow the user to specify some simple expressions to compute values or define predicates. This expressions are parsed and evaluated using the gval go package, which allows for evaluating go-Like expression.

### 3.3.1 Variables usable in the expression

### 3.3.1.1 sequence

sequence is the sequence object on which the expression is evaluated

### 3.3.1.2 annotation

### 3.3.2 Function defined in the language

- 3.3.2.1 len
- 3.3.2.2 ismap
- 3.3.2.3 hasattribute
- 3.3.2.4 min
- 3.3.2.5 max
- 3.3.3 Accessing to the sequence annotations

### 3.4 Metabarcode design and quality assessment

3.4.0.1 obipcr

Replace the ecoPCR original OBITools

### 3.5 File format conversions

3.5.0.1 obiconvert

### **3.6 Sequence annotations**

3.6.0.1 obitag

### 3.7 Computations on sequences

### 3.7.1 obipairing

Replace the illuminapairedends original OBITools

### 3.7.1.1 Alignment procedure

obipairing is introducing a new alignment algorithm compared to the illuminapairedend command of the OBITools V2. Nethertheless this new algorithm has been design to produce the same results than the previous, except in very few cases.

The new algorithm is a two-step procedure. First, a FASTN-type algorithm (Lipman and Pearson 1985) identifies the best offset between the two matched readings. This identifies the region of overlap.

In the second step, the matching regions of the two reads are extracted along with a flanking sequence of  $\Delta$  base pairs. The two subsequences are then aligned using a "one side free end-gap" dynamic programming algorithm. This latter step is only called if at least one mismatch is detected by the FASTP step.

Unless the similarity between the two reads at their overlap region is very low, the addition of the flanking regions in the second step of the alignment ensures the same alignment as if the dynamic programming alignment was performed on the full reads.

### 3.7.1.2 The scoring system

In the dynamic programming step, the match and mismatch scores take into account the quality scores of the two aligned nucleotides. By taking these into account, the probability of a true match can be calculated for each aligned base pair.

If we consider a nucleotide read with a quality score Q, the probability of misreading this base  $({\cal P}_E)$  is :

$$P_E = 10^{-\frac{Q}{10}}$$

Thus, when a given nucleotide X is observed with the quality score Q. The probability that X is really an X is :

$$P(X = X) = 1 - P_E$$

Otherwise, X is actually one of the three other possible nucleotides  $(X_{E1}, X_{E2} \text{ or } X_{E3})$ . If we suppose that the three reading error have the same probability :

$$P(X = X_{E1}) = P(X = X_{E3}) = P(X = X_{E3}) = \frac{P_E}{3}$$

At each position in an alignment where the two nucleotides  $X_1$  and  $X_2$  face each other (not a gapped position), the probability of a true match varies depending on whether  $X_1 = X_2$ , an observed match, or  $X_1 \neq X_2$ , an observed mismatch.

Probability of a true match when  $X_1 = X_2$ 

That probability can be divided in two parts. First  $X_1$  and  $X_2$  have been correctly read. The corresponding probability is :

$$\begin{split} P_{TM} &= (1-PE_1)(1-PE_2) \\ &= (1-10^{-\frac{Q_1}{10}})(1-10^{-\frac{Q_2}{10}}) \end{split}$$

Secondly, a match can occure if the true nucleotides read as  $X_1$  and  $X_2$  are not  $X_1$  and  $X_2$  but identical.

$$P(X_1 == X_{E1}) \cap P(X_2 == X_{E1}) = \frac{P_{E1}P_{E2}}{9}$$
$$P(X_1 == X_{Ex}) \cap P(X_2 == X_{Ex}) = \frac{P_{E1}P_{E2}}{3}$$

The probability of a true match between  $X_1$  and  $X_2$  when  $X_1 = X_2$  an observed match :

$$P(MATCH|X_1=X_2)=(1-PE_1)(1-PE_2)+\frac{P_{E1}P_{E2}}{3}$$

### Probability of a true match when $X_1 \neq X_2$

That probability can be divided in three parts.

a.  $X_1$  has been correctly read and  $X_2$  is a sequencing error and is actually equal to  $X_1$ .

$$P_a = (1 - P_{E1}) \frac{P_{E2}}{3}$$

b.  $X_2$  has been correctly read and  $X_1$  is a sequencing error and is actually equal to  $X_2$ .

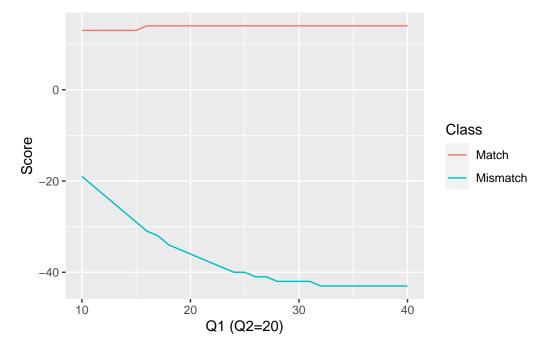
$$P_b = (1-P_{E2}) \frac{P_{E1}}{3}$$

c.  $X_1 \mbox{ and } X_2$  corresponds to sequencing error but are actually the same base  $X_{Ex}$ 

$$P_c=2\frac{P_{E1}P_{E2}}{9}$$

Consequently :

$$P(MATCH|X_1 \neq X_2) = (1 - P_{E1})\frac{P_{E2}}{3} + (1 - P_{E2})\frac{P_{E1}}{3} + 2\frac{P_{E1}P_{E2}}{9}$$



Probability of a match under the random model

Figure 3.1: Evolution of the match and mismatch scores when the quality of base is 20 while the second range from 10 to 40.

### 3.7.1.3 obimultiplex

Replace the ngsfilter original OBITools

### 3.7.1.4 obicomplement

### 3.7.1.5 obiclean

**3.7.1.6** obiuniq

## 3.8 Sequence sampling and filtering

3.8.0.1 obigrep

### 3.8.1 Utilities

3.8.1.1 obicount

### 3.8.1.2 obidistribute

### 3.8.1.3 obifind

Replace the ecofind original *OBITools*.

# 4 The GO OBITools library

### 4.1 BioSequence

The BioSequence class is used to represent biological sequences. It allows for storing : - the sequence itself as a []byte - the sequencing quality score as a []byte if needed - an identifier as a string - a definition as a string - a set of (key, value) pairs in a map[sting]interface{}

BioSequence is defined in the obiseq module and is included using the code

```
import (
    "git.metabarcoding.org/lecasofts/go/obitools/pkg/obiseq"
)
```

### 4.1.1 Creating new instances

To create new instance, use

- MakeBioSequence(id string, sequence []byte, definition string) obiseq.BioSequence
- NewBioSequence(id string, sequence []byte, definition string) \*obiseq.BioSequence

Both create a BioSequence instance, but when the first one returns the instance, the second returns a pointer on the new instance. Two other functions MakeEmptyBioSequence, and NewEmptyBioSequence do the same job but provide an uninitialized objects.

- id parameters corresponds to the unique identifier of the sequence. It mist be a string constituted of a single word (not containing any space).
- sequence is the DNA sequence itself, provided as a byte array ([]byte).
- definition is a string, potentially empty, but usualy containing a sentence explaining what is that sequence.

```
import (
    "git.metabarcoding.org/lecasofts/go/obitools/pkg/obiseq"
)
func main() {
```

```
myseq := obiseq.NewBiosequence(
    "seq_GH0001",
    bytes.FromString("ACGTGTCAGTCG"),
    "A short test sequence",
    )
}
```

When formated as fasta the parameters correspond to the following schema

>id definition containing potentially several words sequence

### 4.1.2 End of life of a BioSequence instance

When an instance of BioSequence is no longer in use, it is normally taken over by the GO garbage collector. If you know that an instance will never be used again, you can, if you wish, call the Recycle method on it to store the allocated memory elements in a pool to limit the allocation effort when many sequences are being handled. Once the recycle method has been called on an instance, you must ensure that no other method is called on it.

#### 4.1.3 Accessing to the elements of a sequence

The different elements of an obiseq.BioSequence must be accessed using a set of methods. For the three main elements provided during the creation of a new instance methodes are :

- Id() string
- Sequence() []byte
- Definition() string

It exists pending method to change the value of these elements

- SetId(id string)
- SetSequence(sequence []byte)
- SetDefinition(definition string)

```
import (
    "fmt"
    "git.metabarcoding.org/lecasofts/go/obitools/pkg/obiseq"
)
func main() {
```

```
myseq := obiseq.NewBiosequence(
    "seq_GH0001",
    bytes.FromString("ACGTGTCAGTCG"),
    "A short test sequence",
    )
    fmt.Println(myseq.Id())
    myseq.SetId("SPE01_0001")
    fmt.Println(myseq.Id())
}
```

### 4.1.3.1 Different ways for accessing an editing the sequence

If Sequence() and SetSequence [] byte) methods are the basic ones, several other methods exist.

- String() string return the sequence directly converted to a string instance.
- The Write method family allows for extending an existing sequence following the buffer protocol.
  - Write(data []byte) (int, error) allows for appending a byte array on 3' end of the sequence.
  - WriteString(data string) (int, error) allows for appending a string.
  - WriteByte(data byte) error allows for appending a single byte.

The Clear method empties the sequence buffer.

```
import (
    "fmt"
    "git.metabarcoding.org/lecasofts/go/obitools/pkg/obiseq"
)
func main() {
    myseq := obiseq.NewEmptyBiosequence()
    myseq.WriteString("accc")
    myseq.WriteByte(byte('c'))
    fmt.Println(myseq.String())
}
```

#### 4.1.3.2 Sequence quality scores

Sequence quality scores cannot be initialized at the time of instance creation. You must use dedicated methods to add quality scores to a sequence.

To be coherent the length of both the DNA sequence and que quality score sequence must be equal. But assessment of this constraint is realized. It is of the programmer responsability to check that invariant.

While accessing to the quality scores relies on the method Quality() []byte, setting the quality need to call one of the following method. They run similarly to their sequence dedicated conterpart.

- SetQualities (qualities Quality)
- WriteQualities(data []byte) (int, error)
- WriteByteQualities(data byte) error

In a way analogous to the Clear method, ClearQualities() empties the sequence of quality scores.

### 4.1.4 The annotations of a sequence

A sequence can be annotated with attributes. Each attribute is associated with a value. An attribute is identified by its name. The name of an attribute consists of a character string containing no spaces or blank characters. Values can be of several types.

- Scalar types:
  - integer
  - numeric
  - character
  - boolean
- Container types:
  - vector
  - map

Vectors can contain any type of scalar. Maps are compulsorily indexed by strings and can contain any scalar type. It is not possible to have nested container type.

Annotations are stored in an object of type bioseq.Annotation which is an alias of map[string]interface{}. This map can be retrieved using the Annotations() Annotation method. If no annotation has been defined for this sequence, the method returns an empty map. It is possible to test an instance of BioSequence using its HasAnnotation() bool method to see if it has any annotations associated with it.

• GetAttribute(key string) (interface{}, bool)

### 4.2 The sequence iterator

The pakage *obiter* provides an iterator mecanism for manipulating sequences. The main class provided by this package is **obiiter.IBioSequence**. An **IBioSequence** iterator provides batch of sequences.

### 4.2.1 Basic usage of a sequence iterator

Many functions, among them functions reading sequences from a text file, return a **IBioSequence** iterator. The iterator class provides two main methods:

```
• Next() bool
```

• Get() obiiter.BioSequenceBatch

The Next method moves the iterator to the next value, while the Get method returns the currently pointed value. Using them, it is possible to loop over the data as in the following code chunk.

```
import (
    "git.metabarcoding.org/lecasofts/go/obitools/pkg/obiformats"
)
func main() {
    mydata := obiformats.ReadFastSeqFromFile("myfile.fasta")
    for mydata.Next() {
        data := mydata.Get()
        //
        // Whatever you want to do with the data chunk
        //
     }
}
```

An obiseq.BioSequenceBatch instance is a set of sequences stored in an obiseq.BioSequenceSlice and a sequence number. The number of sequences in a batch is not defined. A batch can even contain zero sequences, if for example all sequences initially included in the batch have been filtered out at some stage of their processing.

### 4.2.2 The Pipable functions

A function consuming a obiiter.IBioSequence and returning a obiiter.IBioSequence is of class obiiter.Pipable.

### 4.2.3 The Teeable functions

A function consuming a obiiter.IBioSequence and returning two obiiter.IBioSequence instance is of class obiiter.Teeable.

# **5** Annexes

### 5.0.1 Sequence attributes

5.0.1.1 Reserved sequence attributes

5.0.1.1.1 ali\_dir

### 5.0.1.1.1.1 Type : string

The attribute can contain 2 string values "left" or "right".

### 5.0.1.1.1.2 Set by the *obipairing* tool

The alignment generated by *obipairing* is a 3'-end gap free algorithm. Two cases can occur when aligning the forward and reverse reads. If the barcode is long enough, both the reads overlap only on their 3' ends. In such case, the alignment direction ali\_dir is set to *left*. If the barcode is shorter than the read length, the paired reads overlap by their 5' ends, and the complete barcode is sequenced by both the reads. In that later case, ali\_dir is set to *right*.

### 5.0.1.1.2 ali\_length

#### 5.0.1.1.2.1 Set by the *obipairing* tool

Length of the aligned parts when merging forward and reverse reads

### 5.0.1.1.3 count : the number of sequence occurrences

#### 5.0.1.1.3.1 Set by the obiuniq tool

The **count** attribute indicates how-many strictly identical sequences have been merged in a single record. It contains an integer value. If it is absent this means that the sequence record represents a single occurrence of the sequence.

### 5.0.1.1.3.2 Getter : method Count()

The Count() method allows to access to the count attribute as an integer value. If the count attribute is not defined for the given sequence, the value 1 is returned

### 5.0.1.1.4 merged\_\*

### 5.0.1.1.4.1 Type : map[string]int

### 5.0.1.1.4.2 Set by the obiuniq tool

The -m option of the *obiuniq* tools allows for keeping track of the distribution of the values stored in given attribute of interest. Often this option is used to summarise distribution of a sequence variant accross samples when *obiuniq* is run after running *obimultiplex*. The actual name of the attribute depends on the name of the monitored attribute. If -m option is used with the attribute *sample*, then this attribute names *merged\_sample*.

5.0.1.1.5 mode

#### 5.0.1.1.5.1 Set by the *obipairing* tool

obitag\_ref\_index

#### 5.0.1.1.5.2 Set by the *obirefidx* tool.

It resumes to which taxonomic annotation a match to that sequence must lead according to the number of differences existing between the query sequence and the reference sequence having that tag.

5.0.1.1.5.3 Getter : method Count()

 $5.0.1.1.6\ {\tt pairing\_mismatches}$ 

5.0.1.1.6.1 Set by the *obipairing* tool

5.0.1.1.7 score

5.0.1.1.7.1 Set by the *obipairing* tool

5.0.1.1.8 score\_norm

5.0.1.1.8.1 Set by the *obipairing* tool

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