

# **OBITools V4**

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# Preface

The first version of *OBITools* started to be developed in 2005. This was at the beginning of the DNA metabarcoding story at the Laboratoire d'Ecologie Alpine (LECA) in Grenoble. At that time, with Pierre Taberlet and François Pompanon, we were thinking about the potential of this new methodology under development. Pierre and François developed more the laboratory methods, while I was thinking more about the tools for analysing the sequences produced. Two ideas were behind this development. I wanted something modular, and something easy to extend. To achieve the first goal, I decided to implement *obitools* as a suite of unix commands mimicking the classic unix commands but dedicated to sequence files. The basic unix commands are very useful for automatically manipulating, parsing and editing text files. They work in flow, line by line on the input text. The result is a new text file that can be used as input for the next command. Such a design makes it possible to quickly develop a text processing pipeline by chaining simple elementary operations. The *OBITools* are the exact counterpart of these basic Unix commands, but the basic information they process is a sequence (potentially spanning several lines of text), not a single line of text. Most *OBITools* consume sequence files and produce sequence files. Thus, the principles of chaining and modularity are respected. In order to be able to easily extend the *OBITools* to keep up with our evolving ideas about processing DNA metabarcoding data, it was decided to develop them using an interpreted language: Python. Python 2, the version available at the time, allowed us to develop the *OBITools* efficiently. When parts of the algorithms were computationally demanding, they were implemented in C and linked to the Python code. Even though Python is not the most efficient language available, even though computers were not as powerful as they are today, the size of the data we could produce using 454 sequencers or early solexa machines was small enough to be processed in a reasonable time.

# 1 The OBITools

## 1.1 Aims of *OBITools*

## 1.2 File formats usable with *OBITools*

### 1.2.1 The sequence files

Sequences can be stored following various format. OBITools knows some of them. The central formats for sequence files manipulated by OBITools scripts are the `fasta` and `fastq` format. OBITools extends the both these formats by specifying a syntax to include in the definition line data qualifying the sequence. All file formats use the IUPAC code for encoding nucleotides.

### 1.2.2 The IUPAC Code

The International Union of Pure and Applied Chemistry (IUPAC\_) defined the standard code for representing protein or DNA sequences.

#### 1.2.2.1 Nucleic IUPAC Code

Code	Nucleotide
A	Adenine
C	Cytosine
G	Guanine
T	Thymine
U	Uracil
R	Purine (A or G)
Y	Pyrimidine (C, T, or U)
M	C or A
K	T, U, or G
W	T, U, or A
S	C or G
B	C, T, U, or G (not A)

Code	Nucleotide
D	A, T, U, or G (not C)
H	A, T, U, or C (not G)
V	A, C, or G (not T, not U)
N	Any base (A, C, G, T, or U)

### 1.2.3 The *fasta* format

The **fasta format** is certainly the most widely used sequence file format. This is certainly due to its great simplicity. It was originally created for the Lipman and Pearson [FASTA program](#). OBITools use in more of the classical `:ref:fasta` format an `:ref:extended version` of this format where structured data are included in the title line.

In *fasta* format a sequence is represented by a title line beginning with a `>` character and the sequences by itself following the `:doc:iupac` code. The sequence is usually split other several lines of the same length (expect for the last one)

```
>my_sequence this is my pretty sequence
ACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT
GTGCTGACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT
AACGACGTTGCAGTACGTTGCAGT
```

This is no special format for the title line excepting that this line should be unique. Usually the first word following the `>` character is considered as the sequence identifier. The end of the title line corresponding to a description of the sequence. Several sequences can be concatenated in a same file. The description of the next sequence is just pasted at the end of the record of the previous one

```
>sequence_A this is my first pretty sequence
ACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT
GTGCTGACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT
AACGACGTTGCAGTACGTTGCAGT
>sequence_B this is my second pretty sequence
ACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT
GTGCTGACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT
AACGACGTTGCAGTACGTTGCAGT
>sequence_C this is my third pretty sequence
ACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT
GTGCTGACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGTACGTTGCAGT
AACGACGTTGCAGTACGTTGCAGT
```

## 1.2.4 The *fastq* sequence format<sup>1</sup>

**fastq format** is a text-based format for storing both a biological sequence (usually nucleotide sequence) and its corresponding quality scores. Both the sequence letter and quality score are encoded with a single ASCII character for brevity. It was originally developed at the Wellcome Trust Sanger Institute to bundle a [fasta](#) sequence and its quality data, but has recently become the *de facto* standard for storing the output of high throughput sequencing instruments such as the Illumina Genome Analyzer Illumina (Cock et al. 2010) .

A fastq file normally uses four lines per sequence.

- Line 1 begins with a ‘@’ character and is followed by a sequence identifier and an *optional* description (like a `:ref:fasta` title line).
- Line 2 is the raw sequence letters.
- Line 3 begins with a ‘+’ character and is *optionally* followed by the same sequence identifier (and any description) again.
- Line 4 encodes the quality values for the sequence in Line 2, and must contain the same number of symbols as letters in the sequence.

A fastq file containing a single sequence might look like this:

```
@SEQ_ID
GATTTGGGGTTCAAAGCAGTATCGATCAAATAGTAAATCCATTTGTTCAACTCACAGTTT
+
!' '*((( (***) )%%%++) (%%%) .1***-+* ' '))**55CCF>>>>>CCCCCC65
```

The character ‘!’ represents the lowest quality while ‘~’ is the highest. Here are the quality value characters in left-to-right increasing order of quality (ASCII):

```
!"#$%&'()*+,-./0123456789:;<=>?@ABCDEFGHIJKLMNopqrstuvwxyz[\]^_`abcdefghijklmnopqrstuvwxyz{|
```

The original Sanger FASTQ files also allowed the sequence and quality strings to be wrapped (split over multiple lines), but this is generally discouraged as it can make parsing complicated due to the unfortunate choice of “@” and “+” as markers (these characters can also occur in the quality string).

---

<sup>1</sup>This article uses material from the Wikipedia article [FASTQ format](#) which is released under the [Creative Commons Attribution-Share-Alike License 3.0](#)

### 1.2.4.1 Variations

#### 1.2.4.1.1 Quality

A quality value  $Q$  is an integer mapping of  $p$  (i.e., the probability that the corresponding base call is incorrect). Two different equations have been in use. The first is the standard Sanger variant to assess reliability of a base call, otherwise known as Phred quality score:

$$Q_{\text{sanger}} = -10 \log_{10} p$$

The Solexa pipeline (i.e., the software delivered with the Illumina Genome Analyzer) earlier used a different mapping, encoding the odds  $\mathbf{p}/(1 - \mathbf{p})$  instead of the probability  $\mathbf{p}$ :

$$Q_{\text{solexa-prior to v.1.3}} = -10 \log_{10} \frac{p}{1 - p}$$

Although both mappings are asymptotically identical at higher quality values, they differ at lower quality levels (i.e., approximately  $\mathbf{p} > 0.05$ , or equivalently,  $\mathbf{Q} < 13$ ).

[Relationship between  $Q$  and  $p$  using the Sanger (red) and Solexa (black) equations (described above). The vertical dotted line indicates  $\mathbf{p} = 0.05$ , or equivalently,  $Q = 13$ .]

#### 1.2.4.2 Encoding

- Sanger format can encode a Phred quality score from 0 to 93 using ASCII 33 to 126 (although in raw read data the Phred quality score rarely exceeds 60, higher scores are possible in assemblies or read maps).
- Solexa/Illumina 1.0 format can encode a Solexa/Illumina quality score from -5 to 62 using ASCII 59 to 126 (although in raw read data Solexa scores from -5 to 40 only are expected)
- Starting with Illumina 1.3 and before Illumina 1.8, the format encoded a Phred quality score from 0 to 62 using ASCII 64 to 126 (although in raw read data Phred scores from 0 to 40 only are expected).
- Starting in Illumina 1.5 and before Illumina 1.8, the Phred scores 0 to 2 have a slightly different meaning. The values 0 and 1 are no longer used and the value 2, encoded by ASCII 66 “B”.

Sequencing Control Software, Version 2.6, Catalog # SY-960-2601, Part # 15009921 Rev. A, November 2009] [[http://watson.nci.nih.gov/solexa/Using\\_SCSv2.6\\_15009921\\_A.pdf](http://watson.nci.nih.gov/solexa/Using_SCSv2.6_15009921_A.pdf)]([http://watson.nci.nih.gov/solexa/Using\\_SCSv2.6\\_15009921\\_A.pdf](http://watson.nci.nih.gov/solexa/Using_SCSv2.6_15009921_A.pdf)) (page 30) states the following: *If a read ends with a segment of mostly low quality (Q15 or below), then all of the quality values in the segment are replaced with a value of 2 (encoded as the letter B in Illumina’s text-based encoding of quality scores)... This Q2 indicator does not predict a specific error rate, but rather indicates that a specific final portion of the read*

*should not be used in further analyses.* Also, the quality score encoded as “B” letter may occur internally within reads at least as late as pipeline version 1.6, as shown in the following example:

```
@HWI-EAS209_0006_FC706VJ:5:58:5894:21141#ATCACG/1
TTAATTGGTAAATAAATCTCCTAATAGCTTAGATNTTACCTTNNNNNNNNNTAGTTTCTTGAGATTTGTTGGGGGAGACATTTTTGTGATT
+HWI-EAS209_0006_FC706VJ:5:58:5894:21141#ATCACG/1
efcffffcfeefffcfffffddf`feed]` ]_Ba^__ [YBBBBBBBBBRTT\]] [] dddd`ddd`dddadd`BBBBBBBBBBBBBBBBB
```

An alternative interpretation of this ASCII encoding has been proposed. Also, in Illumina runs using PhiX controls, the character ‘B’ was observed to represent an “unknown quality score”. The error rate of ‘B’ reads was roughly 3 phred scores lower the mean observed score of a given run.

- Starting in Illumina 1.8, the quality scores have basically returned to the use of the Sanger format (Phred+33).

### 1.3 File extension

There is no standard file extension for a FASTQ file, but .fq and .fastq, are commonly used.

### 1.4 See also

- :ref:fasta

### 1.5 References

.. [1] Cock et al (2009) The Sanger FASTQ file format for sequences with quality scores, and the Solexa/Illumina FASTQ variants. Nucleic Acids Research,

.. [2] Illumina Quality Scores, Tobias Mann, Bioinformatics, San Diego, Illumina 1\_\_\_\_

.. |Relationship between  $Q$  and  $p$  using the Sanger (red) and Solexa (black) equations (described above). The vertical dotted line indicates  $p = 0.05$ , or equivalently,  $Q \hat{=} 13$ .| image:: Probability metrics.png

See [http://en.wikipedia.org/wiki/FASTQ\\_format](http://en.wikipedia.org/wiki/FASTQ_format)

## 2 The *OBITools* commands

### 2.1 Specifying the input files to *OBITools* commands

### 2.2 Options common to most of the *OBITools* commands

#### 2.2.1 Specifying input format

Five sequence formats are accepted for input files. [Fasta](#) and [Fastq](#) are the main ones, EMBL and Genbank allow the use of flat files produced by these two international databases. The last one, *ecoPCR*, is maintained for compatibility with previous *OBITools* and allows to read *ecoPCR* outputs as sequence files.

- `--ecopcr` : Read data following the *ecoPCR* output format.
- `--embl` Read data following the *EMBL* flatfile format.
- `--genbank` Read data following the *Genbank* flatfile format.

Several encoding schemes have been proposed for quality scores in [Fastq](#) format. Currently, *OBITools* considers Sanger encoding as the standard. For reasons of compatibility with older datasets produced with *Solexa* sequencers, it is possible, by using the following option, to force the use of the corresponding quality encoding scheme when reading these older files.

- `--solexa` Decodes quality string according to the Solexa specification. (default: false)

#### 2.2.2 Specifying output format

Only two output sequence formats are supported by *OBITools*, *Fasta* and *Fastq*. *Fastq* is used when output sequences are associated with quality information. Otherwise, *Fasta* is the default format. However, it is possible to force the output format by using one of the following two options. Forcing the use of *Fasta* results in the loss of quality information. Conversely, when the *Fastq* format is forced with sequences that have no quality data, dummy qualities set to 40 for each nucleotide are added.

- `--fasta-output` Read data following the *ecoPCR* output format.
- `--fastq-output` Read data following the *EMBL* flatfile format.

OBITools allows multiple input files to be specified for a single command.

- `--no-order` When several input files are provided, indicates that there is no order among them. (default: false)

### 2.2.3 Format of the annotations in Fasta and Fastq files

OBITools extend the [Fasta](#) and [Fastq](#) formats by introducing a format for the title lines of these formats allowing to annotate every sequence. While the previous version of OBITools used an *ad-hoc* format for these annotation, this new version introduce the usage of the standard JSON format to store them.

On input, OBITools automatically recognize the format of the annotations, but two options allows to force the parsing following one of them. You should normally not need to use these options.

- `--input-OBI-header` FASTA/FASTQ title line annotations follow OBI format. (default: false)
- `--input-json-header` FASTA/FASTQ title line annotations follow json format. (default: false)

On output, by default annotation are formatted using the new JSON format. For compatibility with previous version of OBITools and with external scripts and software, it is possible to force the usage of the previous OBITools format.

- `--output-OBI-header|-O` output FASTA/FASTQ title line annotations follow OBI format. (default: false)
- `--output-json-header` output FASTA/FASTQ title line annotations follow json format. (default: false)

#### 2.2.3.1 System related options

- `--debug` (default: false)
- `--help\|-h\|-\?` (default: false)
- `--max-cpu <int>` Number of parallele threads computing the result (default: 10)
- `--workers\|-w <int>` Number of parallele threads computing the result (default: 9)

## 2.3 OBITools expression language

Several OBITools (*e.g.* obigrep, obiannotate) allow the user to specify some simple expressions to compute values or define predicates. These expressions are parsed and evaluated using the [gval](#) go package, which allows for evaluating go-Like expressions.

### 2.3.1 Variables usable in the expression

#### 2.3.1.1 sequence

sequence is the sequence object on which the expression is evaluated

#### 2.3.1.2 annotation

### 2.3.2 Function defined in the language

#### 2.3.2.1 len

#### 2.3.2.2 ismap

#### 2.3.2.3 hasattribute

#### 2.3.2.4 min

#### 2.3.2.5 max

### 2.3.3 Accessing to the sequence annotations

## 2.4 Metabarcoding design and quality assessment

### 2.4.0.1 obipcr

Replace the ecoPCR original *OBITools*

## **2.5 File format conversions**

### **2.5.0.1 obiconvert**

## **2.6 Sequence annotations**

### **2.6.0.1 obitag**

## **2.7 Computations on sequences**

### **2.7.1 obipairing**

Replace the `illuminapairedends` original *OBITools*

#### **2.7.1.1 obimultiplex**

Replace the `ngsfilter` original *OBITools*

#### **2.7.1.2 obicomplement**

#### **2.7.1.3 obiclean**

#### **2.7.1.4 obiuniq**

## **2.8 Sequence sampling and filtering**

### **2.8.0.1 obigrep**

### **2.8.1 Utilities**

#### **2.8.1.1 obicount**

#### **2.8.1.2 obidistribute**

#### **2.8.1.3 obifind**

Replace the `ecofind` original *OBITools*.

## 3 The GO *OBITools* library

### 3.1 BioSequence

The `BioSequence` class is used to represent biological sequences. It allows for storing : - the sequence itself as a `[]byte` - the sequencing quality score as a `[]byte` if needed - an identifier as a `string` - a definition as a `string` - a set of *(key, value)* pairs in a `map[sting]interface{}`

`BioSequence` is defined in the `obiseq` module and is included using the code

```
import (  
    "git.metabarcoding.org/lecasofts/go/obitools/pkg/obiseq"  
)
```

#### 3.1.1 Creating new instances

To create new instance, use

- `MakeBioSequence(id string, sequence []byte, definition string) obiseq.BioSequence`
- `NewBioSequence(id string, sequence []byte, definition string) *obiseq.BioSequence`

Both create a `BioSequence` instance, but when the first one returns the instance, the second returns a pointer on the new instance. Two other functions `MakeEmptyBioSequence`, and `NewEmptyBioSequence` do the same job but provide an uninitialized objects.

- `id` parameters corresponds to the unique identifier of the sequence. It must be a string constituted of a single word (not containing any space).
- `sequence` is the DNA sequence itself, provided as a `byte` array (`[]byte`).
- `definition` is a `string`, potentially empty, but usually containing a sentence explaining what is that sequence.

```
import (  
    "git.metabarcoding.org/lecasofts/go/obitools/pkg/obiseq"  
)  
  
func main() {
```

```

myseq := obiseq.NewBiosequence(
    "seq_GH0001",
    bytes.FromString("ACGTGTCAGTCG"),
    "A short test sequence",
)
}

```

When formatted as fasta the parameters correspond to the following schema

```

>id definition containing potentially several words
sequence

```

### 3.1.2 End of life of a BioSequence instance

When a `BioSequence` instance is no more used, it is normally taken in charge by the GO garbage collector. You can if you want call the `Recycle` method on the instance to store the allocated memory element in a pool to limit allocation effort when many sequences are manipulated.

### 3.1.3 Accessing to the elements of a sequence

The different elements of an `obiseq.BioSequence` must be accessed using a set of methods. For the three main elements provided during the creation of a new instance methodes are :

- `Id()` string
- `Sequence()` []byte
- `Definition()` string

It exists pending method to change the value of these elements

- `SetId(id string)`
- `SetSequence(sequence []byte)`
- `SetDefinition(definition string)`

```

import (
    "fmt"
    "git.metabarcoding.org/lecasofts/go/obitools/pkg/obiseq"
)

func main() {
    myseq := obiseq.NewBiosequence(

```

```

    "seq_GH0001",
    bytes.FromString("ACGTGTCAGTCG"),
    "A short test sequence",
    )

    fmt.Println(myseq.Id())
    myseq.SetId("SPE01_0001")
    fmt.Println(myseq.Id())
}

```

### 3.1.3.1 Different ways for accessing an editing the sequence

If `Sequence()` and `SetSequence(sequence []byte)` methods are the basic ones, several other methods exist.

- `String()` `string` return the sequence directly converted to a `string` instance.
- The `Write` method family allows for extending an existing sequence following the buffer protocol.
  - `Write(data []byte) (int, error)` allows for appending a byte array on 3' end of the sequence.
  - `WriteString(data string) (int, error)` allows for appending a `string`.
  - `WriteByte(data byte) error` allows for appending a single `byte`.

The `Clear` method empties the sequence buffer.

```

import (
    "fmt"
    "git.metabarcoding.org/lecasofts/go/obitools/pkg/obiseq"
)

func main() {
    myseq := obiseq.NewEmptyBiosequence()

    myseq.WriteString("acc")
    myseq.WriteByte(byte('c'))
    fmt.Println(myseq.String())
}

```

### 3.1.3.2 Sequence quality scores

Sequence quality scores cannot be initialized at the time of instance creation. You must use dedicated methods to add quality scores to a sequence.

To be coherent the length of both the DNA sequence and que quality score sequence must be equal. But assessment of this constraint is realized. It is of the programmer responsibility to check that invariant.

While accessing to the quality scores relies on the method `Quality() []byte`, setting the quality need to call one of the following method. They run similarly to their sequence dedicated conterpart.

- `SetQualities(qualities Quality)`
- `WriteQualities(data []byte) (int, error)`
- `WriteByteQualities(data byte) error`

In a way analogous to the `Clear` method, `ClearQualities()` empties the sequence of quality scores.

## 4 Annexes

### 4.0.1 Sequence attributes

#### 4.0.1.1 Reserved sequence attributes

##### 4.0.1.1.1 `ali_dir`

###### 4.0.1.1.1.1 Type : `string`

The attribute can contain 2 string values "left" or "right".

###### 4.0.1.1.1.2 Set by the *obipairing* tool

The alignment generated by *obipairing* is a 3'-end gap free algorithm. Two cases can occur when aligning the forward and reverse reads. If the barcode is long enough, both the reads overlap only on their 3' ends. In such case, the alignment direction `ali_dir` is set to *left*. If the barcode is shorter than the read length, the paired reads overlap by their 5' ends, and the complete barcode is sequenced by both the reads. In that later case, `ali_dir` is set to *right*.

##### 4.0.1.1.2 `ali_length`

###### 4.0.1.1.2.1 Set by the *obipairing* tool

Length of the aligned parts when merging forward and reverse reads

#### 4.0.1.1.3 `count` : the number of sequence occurrences

##### 4.0.1.1.3.1 Set by the *obiuniq* tool

The `count` attribute indicates how-many strictly identical sequences have been merged in a single record. It contains an integer value. If it is absent this means that the sequence record represents a single occurrence of the sequence.

#### **4.0.1.1.3.2 Getter : method Count()**

The Count() method allows to access to the count attribute as an integer value. If the count attribute is not defined for the given sequence, the value 1 is returned

#### **4.0.1.1.4 merged\_\***

##### **4.0.1.1.4.1 Type : map[string]int**

##### **4.0.1.1.4.2 Set by the *obiuniq* tool**

The -m option of the *obiuniq* tools allows for keeping track of the distribution of the values stored in given attribute of interest. Often this option is used to summarise distribution of a sequence variant accross samples when *obiuniq* is run after running *obimultiplex*. The actual name of the attribute depends on the name of the monitored attribute. If -m option is used with the attribute *sample*, then this attribute names *merged\_sample*.

#### **4.0.1.1.5 mode**

##### **4.0.1.1.5.1 Set by the *obipairing* tool**

obitag\_ref\_index

##### **4.0.1.1.5.2 Set by the *obirefidx* tool.**

It resumes to which taxonomic annotation a match to that sequence must lead according to the number of differences existing between the query sequence and the reference sequence having that tag.

##### **4.0.1.1.5.3 Getter : method Count()**

#### **4.0.1.1.6 pairing\_mismatches**

##### **4.0.1.1.6.1 Set by the *obipairing* tool**

#### **4.0.1.1.7 score**

##### **4.0.1.1.7.1 Set by the *obipairing* tool**

**4.0.1.1.8** score\_norm

**4.0.1.1.8.1** Set by the *obipairing* tool

## References

Cock, Peter JA, Christopher J Fields, Naohisa Goto, Michael L Heuer, and Peter M Rice. 2010. “The Sanger FASTQ File Format for Sequences with Quality Scores, and the Solexa/Illumina FASTQ Variants.” *Nucleic Acids Research* 38 (6): 1767–71.